

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				ATTY. DOCKET NO. <b>043920-0032</b>		SERIAL NO. <b>09/522,709</b>	
				APPLICANT <b>Fernando L. ALVARADO, et al.</b>			
(Substitute for form 1449/PTO)				FILING DATE <b>March 10, 2000</b>		GROUP <b>3693</b>	
				<b>U.S. PATENT DOCUMENTS</b>			
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code(s) (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US 5,758,331	05-26-1998	JOHNSON			
		US 5,819,237	10-06-1998	GARMAN			
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FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		Fernando L. ALVARADO, "The Dynamics of Power System Markets," 12 March 1997 per Report Number PSerc-97-01.					
		EPRI Report (#TR-114276 titled: "Managing Transmission Risk," Final Report dated December 1999) with the instant application's two inventors (R. RAJARAMAN & F. ALVARADO) are listed amongst the team of principal investigators					
		R. RAJARAMAN & F. ALVARADO, "Understanding price volatility in electricity markets," proceedings of the 33rd Hawaii Intl. Conf. on System Sciences; 5 pgs.					
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		LEOTARD, J.P., MIT EL 99-001, "Transmission Pricing and incentives for investments under Uncertainty in the Deregulated Power Industry," dated 26 January 1999, published February 1999; 150 pages					
		ENRON presentation at IEE Conf. titled: "Load Management: A Trading Perspective," 13 pages					
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		US			
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## **OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		<b>United States Notice of Allowance issued in United States Patent Application No. 11/898,136 dated August 14, 2009</b>
		<b>United States Notice of Allowance issued in United States Patent Application No. 11/898,135 dated August 19, 2009</b>
		<b>United States Notice of Allowance issued in United States Patent Application No. 11/898/137 dated August 26, 2009</b>
		<b>United States Notice of Allowance issued in United States Patent Application No. 10/118,330 dated September 15, 2009</b>

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